

# **Commissioner Assignment Method Flow Chart 2020/21: Accompanying Guidance and Reference Tables**

NHS England and NHS Improvement



# **Commissioner Assignment Method Flow Chart 2020/21: Accompanying Guidance and Reference Tables**

Publishing approval number:

Version number: Final 20/21 version 1.0

First published:

Prepared by: Data Services, NHS England and Improvement

This information can be made available in alternative formats, such as easy read or large print, and may be available in alternative languages, upon request. Please contact [insert name] on [insert contact details].

## Contents

Contents.....	2
Document Purpose.....	3
Aligned Flow Chart Version and Status.....	3
Changes from 19/20 Commissioner Assignment Method flow chart and guidance document .....	3
Document Audience .....	3
Commissioner Assignment Method Objective .....	4
CDS Scope .....	5
When to Assign Commissioner Code.....	5
Determining and Recording Usual Place of Residence.....	6
Commissioner Assignment Method Flow Chart Methodology .....	8
Flow Chart Key.....	8
Definitions of Assigned Commissioners .....	9
Table of assigned commissioners and commissioner code logic .....	9
Flow Chart Annotations .....	21
Appendix A - NHS England Direct Commissioning Framework Responsibilities Matrix 2020/21 .....	48
Appendix B – Specialised and Highly-Specialised Service Line Codes .....	48
Appendix C - Specialised Services Cross Border Flows within the UK .....	48
Appendix D – Mapping Table to Identify Secondary Dental Activity .....	48
Appendix E – Identification of Correct Commissioning Organisation for Infertility Care .....	49
Appendix F – Mapping Table of Detention Centre Addresses and Regional Geography Commissioners Responsible for Paying for Treatment for Referrals from that Detention Centre .....	51
Appendix G – Glossary .....	52
Appendix H – Pseudo Postcodes for EU Member States and Other States with Similar Arrangements (starred) .....	55
Appendix I – Commissioner Assignment Method Flow Chart.....	0

## Document Purpose

This document provides further clarification and supporting information for the Commissioner Assignment Method (CAM) flow chart.

The objective of the Commissioner Assignment Method is to provide a clear interpretation of the NHS England and NHS Improvement, and Department of Health and Social Care guidance documents regarding the application of commissioning rules for payment to national NHS data sets in England.

## Aligned Flow Chart Version and Status

This guidance relates to the version of the flow chart that is designed around the commissioning business rules for the 2020/21 financial year.

## Changes from 19/20 Commissioner Assignment Method flow chart and guidance document

The following items describe the changes from the previous guidance and diagram.

1. Updated dates throughout to refer to 20/21.
2. Updated references throughout to maintain consistency with the updated 'Who Pays?' guidance.
3. Updated question C-2 for No to map to question D, rather than to Responsible CCG, to ensure the record is also checked for other direct commissioning functions.
4. Updated questions F & H to make it clear that there aren't any national identification rules for public health and that these are to be agreed locally between providers and commissioners.
5. Appendix A – Updated to include new commissioner codes.
6. Appendix B – Removed the appendix and instead referenced the separately published material.
7. Appendix F – Updated Health and Justice codes and removed previous region codes.
8. Appendix I – Updated flow chart (for point 3, above).

## Document Audience

This document is designed to support those organisations (hereafter referred to as 'data providers') that derive and provide commissioner code data within commissioning datasets and other activity-based data sets. These organisations may be:

- Healthcare providers, e.g. acute or mental health trusts and independent sector hospitals,
- NHS England and NHS Improvement,
- Commissioning support organisations, e.g. Commissioning Support Units (CSUs), NHS Digital and its Data Services for Commissioners Regional Offices (DSCROs),
- Local Health Boards,
- Clinical Commissioning Groups,

- Organisations listed within the NHS England Lead Provider Framework for commissioning support services or commissioners running their own contract data processing facility, such as a stage 1 Accredited Safe Haven.

## Commissioner Assignment Method Objective

The objective of the Commissioner Assignment Method is to provide a clear interpretation of the NHS England and NHS Improvement, and Department of Health and Social Care guidance documents regarding the application of commissioning rules for payment to national NHS data sets in England.

This guidance has been developed in conjunction with the NHS England 'Who Pays?' team, and incorporates several clarifications and revisions as CAM is subject to periodic review.

The Commissioner Assignment Method flow chart is designed to assist providers to allocate the correct commissioner code within specified commissioning data set (CDS) records for the healthcare activities they provide. The commissioner code is recorded within the CDS field ORGANISATION CODE (CODE OF COMMISSIONER) within the Service Agreement Details data group<sup>1</sup>.

The commissioner code describes which commissioning organisation has payment responsibility, differentiating activity paid for by NHS England (including subdivisions thereof), CCG commissioners and other commissioners and Local Health Boards as appropriate.

It embodies the explicit commissioning hierarchy for CCG and NHS England commissioned services, originally as described in the Letter to NHS England Area Teams – Secondary Dental Care (Gateway Reference No. 00781), dated 20 November 2013<sup>2</sup>, and expands this to ensure assignment of commissioner is appropriately aligned to allocations and budgets.

This shows the order of precedence for the different NHS England commissioned services, where more than one can apply for a patient, e.g. member of the armed forces receiving specialised care. After this letter, NHS England commissioning and finance teams have clarified that Health and Justice commissioning should take precedence over Armed Forces commissioning in the commissioning hierarchy, and agreed that public health commissioning for CDS data should be positioned between Armed Forces and Health and Justice.

The Commissioner Assignment Method is intended to provide an interpretation of the most likely organisation that will be responsible for care, based on routinely collected data. It does not alone create eligibility or payment responsibility. Other rules may apply, such as local commissioning rules, and eligibility criteria, and use of local data

---

<sup>1</sup>

[http://www.datadictionary.nhs.uk/data\\_dictionary/data\\_field\\_notes/o/org/organisation\\_code\\_\(code\\_of\\_commissioner\)\\_de.asp](http://www.datadictionary.nhs.uk/data_dictionary/data_field_notes/o/org/organisation_code_(code_of_commissioner)_de.asp)

<sup>2</sup> [http://api.ning.com/files/7U-JG7rftqTejdbtY-gzdLpa5h9PmjniQwHOGWBfCUjwxVN5Rx54WNkxGOFYYG51y-tYNiD7gFxoJd9m7m3hQyqR\\*D2SG--v/SecondarydentalcareAFHJ11November2013.pdf](http://api.ning.com/files/7U-JG7rftqTejdbtY-gzdLpa5h9PmjniQwHOGWBfCUjwxVN5Rx54WNkxGOFYYG51y-tYNiD7gFxoJd9m7m3hQyqR*D2SG--v/SecondarydentalcareAFHJ11November2013.pdf)

may create situations where the Commissioner Assignment Method cannot derive a correct commissioner. This guidance tries to be comprehensive, but it cannot cover all scenarios. In these cases, where further guidance is required, NHS England commissioning hubs can provide additional advice.

## CDS Scope

The following Commissioning Data Set v6.2 types are within the scope of the Commissioner Assignment Method flow chart:

CDS	Name
010	Accident & Emergency Attendances
011	Emergency Care Data Set
020	Outpatient Appointments
120	Admitted Patient Care Finished Birth Episodes
130	Admitted Patient Care Finished General Episodes
140	Admitted Patient Care Finished Delivery Episodes
150	Admitted Patient Care Other Birth Events
160	Admitted Patient Care Other Delivery Events
180	Admitted Patient Care Unfinished Birth Episodes
190	Admitted Patient Care Unfinished General Episodes
200	Admitted Patient Care Unfinished Delivery Episodes

## When to Assign Commissioner Code

The Commissioner Assignment Method should be applied at the following dates for the described CDS types:

CDS Type	Date
010 (Accident & Emergency Attendances)	Arrival Date at Accident and Emergency Department
011 (Emergency Care Data Set)	Emergency care arrival date
020 (Outpatients)	Appointment Date
120 (Admitted Patient Care Finished Birth Episodes)	DISCHARGE DATE (HOSPITAL PROVIDER SPELL)
130 (Admitted Patient Care Finished General Episodes)	DISCHARGE DATE (HOSPITAL PROVIDER SPELL)

CDS Type	Date
140 (Admitted Patient Care Finished Delivery Episodes)	DISCHARGE DATE (HOSPITAL PROVIDER SPELL)
150 (Admitted Patient Care Other Birth Events)	DISCHARGE DATE (HOSPITAL PROVIDER SPELL)
160 (Admitted Patient Care Other Delivery Events)	DISCHARGE DATE (HOSPITAL PROVIDER SPELL)
180 (Admitted Patient Care Unfinished Birth Episodes)	START DATE (HOSPITAL PROVIDER SPELL)
190 (Admitted Patient Care Unfinished General Episodes)	START DATE (HOSPITAL PROVIDER SPELL)
200 (Admitted Patient Care Unfinished Delivery Episodes)	START DATE (HOSPITAL PROVIDER SPELL)

1. The Commissioner Assignment Method should be applied to completed Admitted Patient Care spells in line with the National Tariff/PbR timetable (i.e. during the period between actual discharge date and the published reconciliation and post reconciliation dates).
2. The registered GP practice and postcode of usual address data that were valid at a spell's admission date should be used when applying the Commissioner Assignment Method to Admitted Patient Care spell data (for either finished or unfinished spells).
3. If registered GP practice and/or postcode of usual address data changed between admission date and discharge date in completed Admitted Patient Care spells, and if this causes a different commissioner to be derived, then the commissioner derived in 2, above, is responsible for initiating a negotiation (if they wish) with the other commissioner on who will be the commissioner responsible for paying for the spell.

## Determining and Recording Usual Place of Residence

This document assumes the same rules for deciding usual place of residence of a patient, as described in Annex B in 'Who Pays?' Determining responsibility for NHS payments to providers guidance. The guidance is also maintained for determining the residency status of asylum seekers, patients residing in approved premises, bail accommodation, patients who move during treatment, people taken ill abroad, students/boarding school pupils and persons detained under the Mental Health Act (1983).

Providers should also ensure for any patients with a 'No Fixed Abode' postcode (ZZ99 3VZ) or 'Address Not Known' postcode (ZZ99 3WZ), or 'England UK - not specified' (Z99 3CZ) or ZZ99 3GZ – 'Wales'; ZZ99 1WZ – 'Scotland'; ZZ99 2WZ 'Northern Ireland', that they identify a usual place of residence based upon the

address of the main site of the provider delivering the care and use this address when determining commissioning payment responsibilities. The default country of residence for these postcodes should be 'England'.<sup>3</sup>

Country code, where required in this document from the ONS NHS Postcode Directory, should be identified by using the 'gridall.csv' file<sup>4</sup> from the NHS Digital ODS service.

Providers should not transmit the postcode of the main site of the provider delivering the care in the CDS itself for any patients who have no fixed abode as the ZZ99 3VZ postcode value may need to be used to segment this population group when undertaking analysis of health inequalities.

Providers should record the full address and postcode for patients from the UK home countries (Scotland, Wales, Northern Ireland). Providers should only use the pseudo postcodes for these countries (ZZ99 3GZ – Wales; ZZ99 1WZ – Scotland; ZZ99 2WZ Northern Ireland), if it is impossible to determine the full address and postcode for a patient.

Where a patient's usual place of residence is overseas, providers should record the full address and use the relevant pseudo postcode to indicate the country of usual residence. The pseudo postcode list is maintained by the Office of National Statistics (ONS) as part of the ONS NHS Postcode Directory and can be found at the NHS Digital Organisation Data Services website<sup>5</sup>.

Serving members of the armed forces will be registered with a Defence Medical Services (DMS) primary care practice. These are located within the UK or overseas. Registration details are held on the Ministry of Defence's (MoD) health information system 'DMICP' (Defence Medical Information Capability Programme).

DMICP is integrated to the Patient Demographic Service on the Spine and the Spine's demographic data for armed forces personnel are 'civilianised' by this integration. This is for security reasons as it prevents serving members of the armed forces being easily identifiable whilst in hospital. The civilianisation process removes rank and other military terminology from the patient demographic data and replaces the patient's actual place of residence with a civilian form of the address of the DMS practice they are registered with. This address should be used as part of the Commissioner Assignment Method and not the actual address of the patient.

The same principle applies to serving personnel who are based overseas at a British Forces Post Office (BFPO) address and who return to the UK for treatment. The BFPO address should not be recorded as the usual place of residence for the security reason noted above. Instead, the patient's DMS practice address should be used.

---

<sup>3</sup> On the rationale that only English providers submit CDS records to SUS

<sup>4</sup> <https://digital.nhs.uk/services/organisation-data-service/data-downloads/office-for-national-statistics-data>

<sup>5</sup> <https://digital.nhs.uk/services/organisation-data-service/data-downloads/office-for-national-statistics-data>



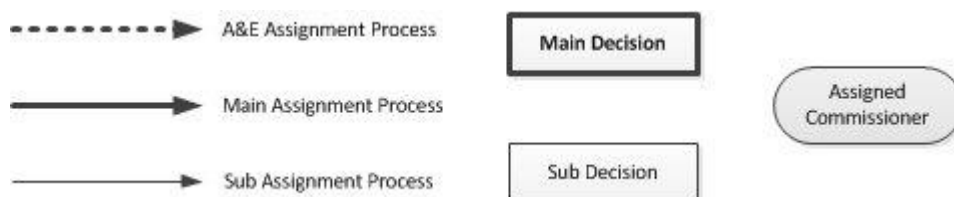
## Commissioner Assignment Method Flow Chart Methodology

The flow chart runs through a set of question steps, predominantly of a 'Yes/No' type. These questions lead ultimately to an answer which identifies the commissioning organisation or organisation type with payment responsibility for the activity represented by the CDS activity record. Providers need to write the correct Organisation Data Services organisation code for this organisation in the ORGANISATION CODE (CODE OF COMMISSIONER)<sup>6</sup> field in the CDS. The correct codes to denote commissioning responsibilities for the 2020/21 financial year are described in the NHS England Direct Commissioning Framework Responsibilities Matrix 2020/21 (Appendix A). These organisations are also described in more detail in section 10 below.

The Commissioner Assignment Method flow chart includes annotations for each process step which link to further explanatory detail in the table below. This detail includes derivation logic for each step, where required. This derivation logic uses fields from the in-scope CDS types, and where this is the case the field names are in uppercase, as per the convention in the NHS Data Dictionary. The flow chart logic also relies on several reference files and look-up tables. These are either references to national files or a provided look-up file.

In some cases, the derivation logic for a process step explains explicitly how to deal with stated default data values for CDS fields and how the relevant question should be answered for these values. If the derivation logic does not explicitly state how to deal with unstated default codes, invalid or Null or Blank values within a CDS field, then the derivation logic should be followed explicitly. For example, if a question requires a specified value or values to be present in a CDS field for the answer to be 'Yes', then any other value in the CDS field, including Null or Blank records, would be answered 'No.' This approach should ensure that a commissioner code can be assigned to all CDS records.

### Flow Chart Key



---

6

[http://www.datadictionary.nhs.uk/data\\_dictionary/data\\_field\\_notes/o/org/organisation\\_code\\_\(code\\_of\\_commissioner\)\\_de.asp](http://www.datadictionary.nhs.uk/data_dictionary/data_field_notes/o/org/organisation_code_(code_of_commissioner)_de.asp)

## Definitions of Assigned Commissioners

### Table of assigned commissioners and commissioner code logic

The table below describes the main commissioner types (known as the 'Assigned Commissioner'), which are derived from following the Commissioner Assignment Method flow chart, and provides guidance for providers to determine which specific commissioner code to apply in CDS records. For example, the Commissioner Assignment Method may suggest a 'Host CCG' is the responsible commissioner type and this table will then help identify which specific CCG organisation code is appropriate.

Assigned Commissioners type and method for identifying correct commissioner and code	
Commissioner Type	Description and method of identification of correct code
Host CCG	<p>The host CCG of a healthcare provider is the CCG within which the address of the provider's main site is located.</p> <p>'Host CCG' is derived as follows:</p> <ol style="list-style-type: none"> <li>1. Establish main site of the healthcare provider by taking first three digits (i.e. ignoring any site code suffixes) of the CDS field: ORGANISATION CODE (CODE OF PROVIDER).</li> <li>2. Derive postcode of the address of the provider's main site by looking up the derived provider code in column1 the ODS reference file 'etr.csv' and extracting the provider's postcode (from column 10).</li> <li>3. Look up the extracted provider postcode in the ONS NHS Postcode Directory and derive the responsible CCG from field 18 in the Postcode Directory for the matching record.</li> <li>4. Local interpretation of these rules may be required for cases where the host CCG is not identified via this logic – this may occur in cases where providers have merged, resulting in a change to the main site of the trust HQ of a provider. In this case, local logic may be applied in the short term so that the host CCG is derived appropriately to meet local financial allocations*.</li> </ol> <p>*Commissioners and providers should be encouraged to use the definition for host CCG suggested by this document. Where this would result in a shift of activity between CCGs, local commissioners and finance leads should be engaged to ensure appropriate adjustments are made locally. It is recognised that it</p>

**Assigned Commissioners type and method for identifying correct commissioner and code**

Commissioner Type	Description and method of identification of correct code
	<p>may not be possible to implement the change to this definition of host CCG immediately.</p>
<p>Responsible CCG</p>	<p>The responsible CCG is based on the CCG which a patient's registered GP belongs to or, for patients who are not registered with any GP, the CCG within which the patient is usually resident.</p> <p>Responsible CCG commissioner is typically derived as follows:</p> <p>If the value of the CDS field GENERAL MEDICAL PRACTICE CODE (PATIENT REGISTRATION) is either Null or Blank, or V81999 (registered GP Practice Code not known) or V81998 (registered GP Practice code not applicable) or V81997 (No registered GP Practice) then utilise a postcode-methodology – take the value from the CDS field POSTCODE OF USUAL ADDRESS** and look up this postcode value in the ONS NHS Postcode Directory and derive the responsible CCG commissioner from the value in the Directory's 'CCG' field (field 18) for the matching record;</p> <p>ELSE look up the value of GENERAL MEDICAL PRACTICE CODE (PATIENT REGISTRATION) in the ODS 'epraccur' file where the record has a 'National Grouping' value (field 3) NOT equal to 'W00' AND if the record has a Status Code in the national GP file 'epraccur' (field 13) of either A (active) or P (proposed) on the assignment date (as defined in section 5) and derive the responsible CCG commissioner from 'epraccur' field 15 ('Code for the Commissioning Organisation').</p> <p>If there is no match to a record in 'epraccur', then revert to the postcode methodology.</p> <p>If the CDS field: POSTCODE OF USUAL ADDRESS is populated with an 'Unable to be allocated' pseudo postcode (ZZ99 3WZ or ZZ99 3VZ), 'England UK - not specified' (Z99 3CZ), or ZZ99 3GZ – 'Wales'; ZZ99 1WZ – 'Scotland'; ZZ99 2WZ 'Northern Ireland', or is missing then identify a usual place of residence based upon the address of the main site of the provider delivering the care and use this address when determining commissioning payment responsibilities.</p>

**Assigned Commissioners type and method for identifying correct commissioner and code**

Commissioner Type	Description and method of identification of correct code
	<p>It is important to note that for looked after children who move address outside of their original CCG (Who Pays? Paragraph 14), the commissioning responsibility will remain with the original CCG, and be different to which is defined by this process. This cannot be identified from CDS records, but relies on local validation and data. This is described in more details in the Who Pays? guidance.</p> <p>** The Spine is assumed to be the definitive record of a patient's demographic and GP registration details. There are occasions where there might be a discrepancy between the patient postcode supplied by the National Spine Patient Demographic Service (PDS) and the patient postcode provided by the patient and recorded in the Provider CDS submission at the time of care. This may be since PDS may take some time to be updated after a change of address or GP details. If there is evidence that the demographic data on PDS was incorrect when the patient presents for care at a provider, then there may be a valid case to use patient-supplied data and submit this in the CDS submission.</p>
Private Patient/ Overseas Visitor	<p>These patients are liable for all NHS charges relating to their care - whether as a non-charge exempt overseas visitor or as a private patient.</p> <p>If a patient is a private patient, or an overseas visitor liable for charges, the default ODS code of VPP00 should be used as the commissioner code for these patients.</p>
NHS England Armed Forces Commissioning Hub	<p>Who Pays? guidance states that NHS England is responsible for commissioning secondary and community health services for members of the armed forces who are registered with an English Defence Medical Services (DMS) GP Practice and for the members' families where they are registered with an English DMS practice.</p> <p>Providers should use the 'Parent Organisation Code' for the English DMS practices in the 'epracur' reference file – '13Q' as the commissioner code for NHS England-commissioned armed forces activity. 13Q is the code of the 'NHS England Commissioning Hub 1', which was set up to support the implementation of Choose and Book services for DMS practices. The use of this value together with the location of the</p>

Assigned Commissioners type and method for identifying correct commissioner and code	
Commissioner Type	Description and method of identification of correct code
	DMS Practice (i.e. England, Scotland, Wales, Northern Ireland or Overseas) will unambiguously identify the correct commissioning organisation for the eligible armed forces population in commissioning data sets.
NHS England Specialised Commissioning Hub	<p>Where a CDS record has been identified as being for specialised care, then the relevant responsible NHS England Specialised Commissioning Hub will be responsible for paying for the care described by the CDS record.</p> <p>NHS England regional geographies with commissioning responsibility for specialised services ('Specialised Commissioning Hubs') agree contracts for specialised services with specified UK NHS and independent providers. The Specialised Commissioning Hubs are described in the NHS England Direct Commissioning Framework Responsibilities Matrix 2020/21 (Appendix A).</p> <p>Providers MUST NOT use the redundant organisation code 'YDD82' as a commissioner code within the CDS.</p> <p>The relevant responsible NHS England Regional Geography is derived as follows:</p> <p>Take the value from the CDS field ORGANISATION CODE (CODE OF PROVIDER), and look this value up in the list of specified UK providers who have agreed contracts with NHS England Specialised Commissioning Hubs, as specified in the Provider to Commissioning Hub Mapping<sup>7</sup>, which is available from ODS, and then use this to derive the corresponding NHS England Specialised Commissioning Hub code.</p>
NHS Scotland National Services Division	<p>The Scottish devolved administration is responsible for paying for all specialised care at English providers for Scottish residents, not covered by pre-existing legacy agreements with NHS England.</p> <p>The National Services Division of NHS Scotland is the responsible commissioner for specialised care for Scottish residents by managing a pool of funds (risk share scheme) on behalf of Health Boards to pay for individual patient referrals for</p>

<sup>7</sup> <https://digital.nhs.uk/services/organisation-data-service/data-downloads/other-nhs-organisations>

Assigned Commissioners type and method for identifying correct commissioner and code	
Commissioner Type	Description and method of identification of correct code
	<p>a “prescribed” list of specialised services in England which are not included in the service agreement with NHS England.<sup>8</sup></p> <p>Providers should use the ODS code ‘SD002’ for the NHS Scotland Health National Services Division, as defined in the ODS ‘scotorg’ reference file<sup>9</sup>, as the commissioner code for this activity.</p>
Scottish Health Board	<p>Scottish Health Boards (SHBs) have a responsibility to provide for the health care of patients living within their boundaries, i.e. patients who are “ordinarily resident” in their area<sup>10</sup>. Scottish Health Boards are responsible for paying for non-specialised admitted and outpatient activity for their residents. The responsible Scottish Health Board is derived from the patient’s postcode of place of usual residence, via the ONS NHS Postcode Directory.</p> <p>Scottish Health Boards are also responsible for paying for care provided to patients registered within Scottish Defence Medical Services Practices. There is no mapping between Scottish DMS practices and Scottish Health Boards, so the correct Scottish Health Board should be derived from a patient’s postcode of usual address which will be the postcode of the DMS practice itself.</p> <p>Providers should use the ODS for codes in the range SA9 to SZ9, as defined in the ODS ‘scotorg’ reference file, as the commissioner code for this activity. Take the value from the CDS field POSTCODE OF USUAL ADDRESS and look this postcode value up in the ONS NHS Postcode Directory and derive the responsible Scottish Health Board from the value in the Directory’s field 18 for the matching record.</p>
Northern Ireland Health and Social Care Board	<p>The Northern Ireland Health and Social Care Board is responsible for paying for all other specialised care, not covered by pre-existing legacy agreements with NHS England, for its residents in English providers. It is also responsible for paying</p>

<sup>8</sup> <http://www.nsd.scot.nhs.uk/%5C%5C/services/specialised/index.html>

<sup>9</sup> <https://digital.nhs.uk/organisation-data-service/data-downloads/home-countries>

<sup>10</sup> [http://www.sehd.scot.nhs.uk/mels/CEL2013\\_06.pdf](http://www.sehd.scot.nhs.uk/mels/CEL2013_06.pdf)

**Assigned Commissioners type and method for identifying correct commissioner and code**

Commissioner Type	Description and method of identification of correct code
	<p>for non-specialised admitted and outpatient activity for its residents.</p> <p>The Northern Ireland Health and Social Care Board discharges its commissioning responsibilities via its five Local Commissioning Groups (LCGs) who commission care for their resident population<sup>11</sup>, therefore the responsible LCG is derived from the patient's postcode of place of usual residence.</p> <p>The Northern Ireland Health and Social Care Board, via its LCGs, is also responsible for paying for care provided to patients registered within Northern Irish Defence Medical Services Practices. Because there is no mapping between Northern Irish DMS practices and LCGs, the correct LCG should be derived from a patient's postcode of usual address, which will be the postcode of the DMS practice itself.</p> <p>Take the value from the CDS field POSTCODE OF USUAL ADDRESS and look this postcode value up in the ONS NHS Postcode Directory (gridall.csv) and derive the responsible Northern Ireland Local Commissioning Group from the value in the Directory's field 18 for the matching record. Northern Ireland Local Commissioning Group values will be in the range ZC1 to ZC5.</p>
Welsh Local Health Board	<p>The Welsh devolved administration is responsible for paying for care, which is not covered by existing legacy agreements with NHS England, for its residents receiving highly-specialised care at English providers. It is also responsible for paying for care provided to patients registered within Welsh Defence Medical Services Practices. It holds payment responsibility for patients residing on both sides of the English/Welsh Border as defined within the Protocol for Cross-Border Healthcare Services<sup>12</sup>. It also holds payment responsibility for services from English providers provided to patients residing in the rest of Wales.</p> <p>NHS Wales discharges its specialised services commissioning responsibility through the Welsh Health Specialised Services Committee (Wales)<sup>13</sup>. Other commissioning responsibilities are</p>

<sup>11</sup> <http://www.niassembly.gov.uk/globalassets/documents/raise/publications/2011/health/14511.pdf>

<sup>12</sup> <https://www.england.nhs.uk/wp-content/uploads/2018/11/cross-border-statement-of-values-and-principles.pdf>

<sup>13</sup> <http://wales.gov.uk/docs/legislation/inforcenonsi/nationalhealth/091001direct35eng.doc>



**Assigned Commissioners type and method for identifying correct commissioner and code**

Commissioner Type	Description and method of identification of correct code
	<p>covered by the various Welsh Local Health Boards (LHB) who are responsible for planning, funding, designing, developing and securing the delivery of primary, community and in-hospital care services for residents in their respective areas and the responsible body will be established because of the LHB area where the person is usually resident<sup>14</sup>. Therefore, the responsible LHB is derived from the patient's postcode of place of usual residence.</p> <p>However, because of the Welsh Cross-Border protocol, it is possible for a LHB to be the responsible commissioner for patients who are resident in England, specifically for those patients who are resident on the English side of the border who are registered with a Welsh border GP practice.</p> <p>There is no mapping between Welsh DMS practices and Welsh Local Health Boards, so the Welsh Local Health Board should be derived from a patient's postcode of usual address which will be the postcode of the DMS practice itself.</p> <p>For patients that are resident in Wales: Take the postcode recorded in the CDS field: POSTCODE OF USUAL ADDRESS and look this up in the ONS NHS Postcode Directory where the relevant Welsh Local Health Board organisation code is listed under field 18 for the matching record.</p> <p>If the CDS field: POSTCODE OF USUAL ADDRESS is populated with the default pseudo postcode for Wales (ZZ99 3GZ) rather than a specific Welsh postcode then it will not be possible to allocate a specific Welsh Local Health Board organisation code and instead the code for the Welsh Government (W01) should be used instead.</p> <p>For Patients resident on the English side of the Wales-England border and who are registered with a Welsh GP: Take the value from CDS field GENERAL MEDICAL PRACTICE CODE (PATIENT REGISTRATION) and look this up in the ODS 'epracur' file and extract the postcode of the relevant GP Practice. Look this derived postcode up in the ONS NHS Postcode Directory where the relevant Welsh Local Health</p>

<sup>14</sup> <http://wales.gov.uk/docs/dhss/publications/130405body-guidanceen.pdf>



**Assigned Commissioners type and method for identifying correct commissioner and code**

Commissioner Type	Description and method of identification of correct code																					
	Board organisation code is listed under field 18 for the matching record.																					
Welsh Health Specialised Service Committee	<p>NHS Wales discharges its specialised services commissioning responsibility through the Welsh Health Specialised Services Committee (Wales)<sup>15</sup>.</p> <p>The Welsh Health Specialised Service Committee (WHSCC) are hosted by the CWM TAF UNIVERSITY Local Health Board, with a site code 7A5HC. This code should be used as the commissioner code for CDS records where Wales is responsible for paying for specialised care activity.</p> <p>The Referral Assessment Service (RAS) system has been introduced for when referring English resident cross border patients (who are registered with Welsh border GP practices who have opted into the service) for consultant led secondary care (community services, mental health and urgent suspected cancer referrals are not included). This is summarised in the table below:</p> <table border="1" data-bbox="467 1200 1382 1603"> <thead> <tr> <th>Patient's residency</th> <th>GP registration</th> <th>Patient referred by</th> <th>Legally responsible body</th> <th>Body which will pay for patient's care</th> <th>English provider</th> <th>Welsh provider</th> </tr> </thead> <tbody> <tr> <td>England</td> <td>Wales</td> <td>GP via RAS</td> <td>NHSE</td> <td>LHB via WHSSC</td> <td>NHS England Constitution</td> <td>NHS Wales standards</td> </tr> <tr> <td>England</td> <td>Wales</td> <td>GP referral other than via RAS (Note: Only a specified list of GPs use RAS)</td> <td>NHSE</td> <td>NHSE</td> <td>NHS England constitution</td> <td>NHS Wales standards</td> </tr> </tbody> </table>	Patient's residency	GP registration	Patient referred by	Legally responsible body	Body which will pay for patient's care	English provider	Welsh provider	England	Wales	GP via RAS	NHSE	LHB via WHSSC	NHS England Constitution	NHS Wales standards	England	Wales	GP referral other than via RAS (Note: Only a specified list of GPs use RAS)	NHSE	NHSE	NHS England constitution	NHS Wales standards
Patient's residency	GP registration	Patient referred by	Legally responsible body	Body which will pay for patient's care	English provider	Welsh provider																
England	Wales	GP via RAS	NHSE	LHB via WHSSC	NHS England Constitution	NHS Wales standards																
England	Wales	GP referral other than via RAS (Note: Only a specified list of GPs use RAS)	NHSE	NHSE	NHS England constitution	NHS Wales standards																
Responsible Local Authority	<p>Local Authorities have a duty to improve the health of the people in their areas, funded by a ring-fenced grant. Local Authorities fulfil this duty by commissioning a range of services from providers (e.g. sexual health, smoking cessation).</p> <p>If the Local Authority services are commissioned from a secondary care provider and a standard CDS flow is used to record the activity, then the responsible commissioner in the</p>																					

<sup>15</sup> <http://wales.gov.uk/docs/legislation/inforcenonsi/nationalhealth/091001direct35eng.doc>

**Assigned Commissioners type and method for identifying correct commissioner and code**

Commissioner Type	Description and method of identification of correct code
	<p>data set will need to be the relevant Local Authority organisation.</p> <p>The Who Pays? guidance states that local authorities have the power to determine who their relevant population is for the services they commission. The default assumption used in this guidance is that each local authority will be responsible for commissioning relevant services for their resident population, specifically those people whose usual place of residence is within the geographic boundary of the local authority.</p> <p>Take the value from the CDS field POSTCODE OF USUAL ADDRESS and look this postcode value up in the ONS NHS Postcode Directory and derive the responsible local authority from the value in the Directory's field 9 for the matching record.</p>
<p>Responsible NHS England Regional Geography for Secondary Dental Services and Public Health Services</p>	<p>All NHS England Regional Geographies have commissioning and payment responsibility for secondary dental care and certain public health services (see Appendix A), therefore the correct Regional Geography code should be used to populate the commissioner code within the CDS for activity records pertaining to these services.</p> <p>Each NHS England Regional Geography commissioning team commissions secondary dental and public health services for their responsible population which is defined as anyone registered with a GP practice located within the regional geography's boundary or anyone, not registered with any GP, who lives within the regional geography's boundary.</p> <p>Take the value from the CDS field GENERAL MEDICAL PRACTICE CODE (PATIENT REGISTRATION):</p> <p>IF this value is either V81999 (<b>registered GP Practice Code not known</b>) or V81998 (<b>registered GP Practice code not applicable</b>) or V81997 (<b>No registered GP Practice</b>), then take the value from the CDS field POSTCODE OF USUAL ADDRESS and look this postcode value up in the ONS NHS Postcode Directory and derive the code for the responsible Regional Geography from the value in the Directory's 'Regional Geography' field (field 24) for the matching record;</p>

**Assigned Commissioners type and method for identifying correct commissioner and code**

Commissioner Type	Description and method of identification of correct code
	<p>ELSE if this value relates to a non-BFPO <sup>16</sup>DMS practice (i.e. those practices, active or proposed ('epracur' status code equal to 'A' or 'P') on the assignment date (as defined in section 5), where the value in the ODS 'epracur' file of the practice's 'Commissioning Organisation' code = '13Q' AND the postcode of the practice address does not correspond to the form 'BFn nAA'), then take the postcode of the organisation from 'epracur', look this postcode value up in the ONS NHS Postcode Directory and derive the responsible NHS England commissioner from the value in the Directory's 'Higher Health Authority' field for the matching record.</p> <p>ELSE look up the value in the ODS 'epracur' file and derive the code for the responsible Regional Geography from 'epracur' field 4 ('High Level Health Geography).</p> <p>If there is no match to a record in 'epracur' then revert to the postcode methodology: take the value from the CDS field POSTCODE OF USUAL ADDRESS and look this postcode value up in the ONS NHS Postcode Directory and derive the responsible NHS England commissioner from the value in the Directory's 'Higher Health Authority' field for the matching record.</p>

<sup>16</sup> It should not be necessary to accommodate CDS records relating to BFPO practice registrants when assessing commissioning responsibility for secondary dental and public health section 7a activity as these records will be deemed to be relating to patients who are charge-exempt overseas visitors and will have been filtered out at a preceding part of the flow chart.

**Assigned Commissioners type and method for identifying correct commissioner and code**

<b>Commissioner Type</b>	<b>Description and method of identification of correct code</b>
<p>Commissioning hub for Health and Justice Commissioning</p>	<p>NHS England Regional Geographies are responsible for health and justice commissioning (see Appendix A). The NHS England Regional Geography teams commission secondary care based on which prison or another in-scope detention centre referred the patient.</p> <p>The responsible Health and Justice Commissioning Hub code to site relationship is given in Appendix F, which shows which of the Health and Justice commissioning hubs are responsible for which prison/detention centres.</p> <p>To find the commissioning hub code: Take the postcode value from the CDS field: POSTCODE OF USUAL ADDRESS and look this up in column C of Appendix F.</p> <p>The responsible commissioner can be obtained from Column D for the matching record.</p> <p>The ODS has implemented new Health and Justice Commissioning Hub codes for this activity to facilitate easier identification of health and justice activity within CDS records.</p> <p>It is anticipated that the further ODS reference data release changes will permit derivation of commissioning hub without the need for Appendix F in future versions of the Commissioner Assignment Method.</p>

**Assigned Commissioners type and method for identifying correct commissioner and code**

<b>Commissioner Type</b>	<b>Description and method of identification of correct code</b>
See Overseas Visitor Guidance	<p>Detailed interpretation of the overseas visitors' guidance is beyond the scope of the Commissioner Assignment Method documentation. Specific guidance to determine the correct commissioner for those non-European Economic Area (EEA) overseas visitors who are eligible for free NHS care (in whole or part), due to reciprocal agreements, other international agreements or general exemptions is detailed within the various Department of Health and Social Care (DHSC) documents on overseas visitor funding<sup>17</sup>, the NHS England Who Pays? guidance, and the additional NHS England Who Pays? guidance for recovering costs for overseas visitors<sup>18</sup>.</p> <p>The default rule for non-EEA visitors who are exempt from charges (Referred to as Charge Exempt Overseas Visitors (CEOV) / Non-EEA) due to a reciprocal agreement (or other exemption reasons) is that the CCG (either host or responsible) will be the commissioner, and will recover costs for overseas visitors, as described in the additional guidance.</p> <p>However, it is noted from the DHSC guidance that there are many types of reciprocal arrangement, and there may be occasions where local agreements exist with providers and non-UK commissioners that may need a different commissioner code to be applied. Local understanding is required to interpret the rules and regulations for these cases.</p>

<sup>17</sup> <https://www.gov.uk/government/publications/guidance-on-overseas-visitors-hospital-charging-regulations>

<sup>18</sup> <https://www.england.nhs.uk/wp-content/uploads/2015/05/guidance-chargeable-overseas-visitor.pdf>

## Flow Chart Annotations

The table below annotates the steps of the Commissioner Assignment Method process, giving guidance to assign the commissioner for CDS records.

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
A&E- 1	This question checks whether the patient is usually resident in England.	<p>If the CDS field: POSTCODE OF USUAL ADDRESS is a postcode from within England (i.e. where the value of the 'Country' field for that postcode in the ONS NHS Postcode Directory is equal to E92000001, then the answer to question 2 is 'Yes', else the answer is 'No'.</p> <p>If the CDS field: POSTCODE OF USUAL ADDRESS is populated with an 'Unable to be allocated' pseudo postcode (ZZ99 3WZ or ZZ99 3VZ), 'England UK - not specified' (Z99 3CZ), or ZZ99 3GZ – 'Wales'; ZZ99 1WZ – 'Scotland'; ZZ99 2WZ 'Northern Ireland', or is missing then identify a usual place of residence based upon the address of the main site of the provider delivering the care and use this address when determining commissioning payment responsibilities. In these cases, the answer to question 2 is 'Yes'.</p>	<p>Yes:- J</p> <p>No:- Host CCG</p>
A	This question identifies those patients liable for NHS charges – whether as a non-charge exempt	<p>Providers must assess a patient's liability for NHS charges and record appropriate data in the OVERSEAS VISITOR STATUS CLASSIFICATION and ADMINISTRATIVE CATEGORY fields of the CDS. If the CDS field OVERSEAS VISITOR STATUS CLASSIFICATION is populated with the value '4' (to pay all charges) (in the case of the ECDS the field used is OVERSEAS VISITOR CHARGING CATEGORY, with a value of D or F) OR if the CDS field ADMINISTRATIVE CATEGORY is populated with the value</p>	<p>Yes:- Private Patient / Overseas visitor liable for charges (VPP00)</p> <p>No:- B</p>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
	overseas visitor or as a private patient.	'02' (Private Patient) then the answer to this question is 'Yes' else the answer is 'No'.	
B	This question checks whether the patient is ordinarily resident within the UK and if their usual place of residence is in either England, Wales, Scotland or Northern Ireland.	<p>Chapter 3 in the Department of Health and Social Care guidance document for the overseas visitor charging regulations "Guidance on implementing the overseas visitor hospital charging regulations 2015<sup>19</sup>" describes what is meant by the term 'ordinarily resident' and what tests can be performed to determine if a patient is ordinarily resident in the UK. Note that 'ordinarily resident' is different to 'usually resident'. The former relates to a person's eligibility for free NHS treatment, whereas the latter relates to a person's actual address where they are living.</p> <p>Any patient deemed to be not ordinarily resident in the UK must have the CDS field OVERSEAS VISITOR STATUS CLASSIFICATION (or OVERSEAS VISITOR CHARGING CATEGORY for the ECDS) populated with a valid value, depending on their charging status. A patient's usual place of residence, and whether this is within the UK or overseas, needs to be established by following the explicit guidance in the Who Pays? guidance. An overseas address should use the ONS pseudo postcodes, starting with ZZ99, to record country of residence. For patients registered with a DMS practice the patient's actual address will be civilianised by the DMICP-PDS link for security reasons and any patient registered with an</p>	<p>Yes:- WB-1</p> <p>No:- B-1</p>

<sup>19</sup> [https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/418634/Implementing\\_overseas\\_charging\\_regulations\\_2015.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/418634/Implementing_overseas_charging_regulations_2015.pdf)

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		<p>overseas DMS practice will be deemed to be living overseas. The address for these practices is recorded in 'epracur' and they are listed with a British Forces Post Office (BFPO) postcode with the form 'BF1 nAA' or 'BF2 nAA'. BFPO postcodes are not listed in the ONS NHS Postcode Directory and care must be taken when using postcode reference data to determine country of residence for these patients. DHSC guidance lists the locations of these BFPO post locations<sup>20</sup>.</p> <p>Patients who are ordinarily resident in Alderney, Guernsey, Jersey or the Isle of Man may present at English providers for treatment and provide an English address as a temporary residence whilst being treated. In these cases, it is necessary to identify these patients via the use their registered GP practice code.</p> <p>If OVERSEAS VISITOR STATUS CLASSIFICATION = 1, 2, 3 or 4 (or in the case of ECDS OVERSEAS VISITOR CHARGING CATEGORY = A, B, C, D, E or F) then the answer to this question is 'No'.</p> <p>Else if OVERSEAS VISITOR STATUS CLASSIFICATION &lt;&gt; 1, 2, 3 or 4 (or in the case of ECDS OVERSEAS VISITOR CHARGING CATEGORY &lt;&gt; A, B, C, D, E or F) then if the CDS field: POSTCODE OF USUAL ADDRESS is a BFPO postcode with the form 'BF1 nAA' or 'BF2 nAA' then the answer to this question is 'No'.</p>	

<sup>20</sup> <https://www.gov.uk/government/publications/british-forces-post-office-locations>



Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		<p>Else if OVERSEAS VISITOR STATUS CLASSIFICATION &lt;&gt; 1,2, 3 or 4 (or in the case of ECDS OVERSEAS VISITOR CHARGING CATEGORY &lt;&gt; A, B, C, D, E or F) then find value of CDS field GENERAL MEDICAL PRACTICE CODE (PATIENT REGISTRATION) AND look this value up in ODS national GP Practice file 'epracur' for those practices, active or proposed ('epracur' status code equal to 'A' or 'P') on the assignment date (as defined in section 5), and if the derived record has a 'High Level Health Geography' code (field 03 in 'epracur') of either YAC or YAD or YAE or YAF on the assignment date (as defined in section 5) then the answer to this question is 'No'.</p> <p>Else if OVERSEAS VISITOR STATUS CLASSIFICATION &lt;&gt; 1,2, 3 or 4 (or in the case of ECDS OVERSEAS VISITOR CHARGING CATEGORY &lt;&gt; A, B, C, D, E or F) then if the CDS field: POSTCODE OF USUAL ADDRESS is a postcode from a UK home country (i.e. where the value of the 'Country' field for that postcode in the ONS NHS Postcode Directory <sup>21</sup> is equal to either E92000001, W92000004, S92000003 or N92000002) then the answer to this question is 'YES', else the answer is 'No'.</p> <p>If the CDS field: POSTCODE OF USUAL ADDRESS is populated with an 'Unable to be allocated' pseudo postcode (ZZ99 3WZ or ZZ99 3VZ), 'England UK - not specified' (Z99 3CZ), or ZZ99 3GZ – 'Wales'; ZZ99 1WZ – 'Scotland'; ZZ99 2WZ 'Northern Ireland', or is missing then identify a usual</p>	

<sup>21</sup> <https://digital.nhs.uk/services/organisation-data-service/data-downloads/office-for-national-statistics-data>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		place of residence based upon the address of the main site of the provider delivering the care and use this address when determining commissioning payment responsibilities.	
B-1	Checks whether the activity record pertains to highly-specialised care.	Is the specialised service line code for the CDS record derived by the specialised services identification rules <sup>22</sup> one of those listed as a Highly Specialised Service Line in the list of Specialised and Highly-Specialised Service Line Codes (see Appendix B for further details), if so the answer to this question is 'Yes' else the answer is 'No'.	Yes:- B-2 No:- B-3
B-2	This question requires providers to identify whether the CDS record is for care provided to a patient who is usually resident in an EU member state who has right of access to English highly-specialised	Providers should record a ZZ99 pseudo-postcode within the CDS to identify a patient's country of residence. The total list of EU states is defined as: Austria, Belgium, Bulgaria, Croatia, Cyprus (Southern), Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Republic of Ireland, Romania, Slovakia, Slovenia, Spain, Sweden and the UK, plus Iceland, Liechtenstein and Norway.  Switzerland has a separate agreement with the European Union which, in effect, applies Regulations 883/2004 and 987/09 to Switzerland. Appendix H lists the 'ZZ99' pseudo postcode for each of the member states above.	Yes:- Responsible NHS England Specialised Commissioning Hub (based on Provider Mapping Table)  No:- Box B-3

<sup>22</sup> <https://www.england.nhs.uk/publication/handbook-to-support-the-identification-of-specialised-service-activity/>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
	services under arrangements governed by EU cross-border directives.	If the CDS field: POSTCODE OF USUAL ADDRESS is a pseudo-postcode representing an EU member state country whose residents have right of access to English highly-specialised services (relevant pseudo postcode values are listed in appendix H), then the answer to this question is 'Yes', else the answer is 'No'.	
B-3	Is the activity covered by an arrangement outside regular overseas visitor charging rules?	<p>Specific guidance to determine the correct commissioner for those non-EEA overseas visitors who are eligible for free NHS care (in whole or part), due to reciprocal agreements, other international agreements or general exemptions is detailed within the various Department of Health and Social Care documents on overseas visitor funding<sup>23</sup>, the NHS England Who Pays? guidance, and the additional Who Pays? guidance for recovering costs for overseas visitors<sup>24</sup>.</p> <p>The default rule for non-EEA visitors who are exempt from charges (Referred to as Charge Exempt Overseas Visitors (CEOV) / Non- EEA) due to a reciprocal agreement is that a CCG (either host or responsible) will be the commissioner, and will recover costs for overseas visitors, as described in the additional guidance.</p> <p>It is noted from the DHSC guidance that there are many types of reciprocal arrangement, and there may be occasions where local agreements exist</p>	<p>No:- B-4</p> <p>Yes:- See OSV Guidance</p>

<sup>23</sup> <https://www.gov.uk/government/publications/guidance-on-overseas-visitors-hospital-charging-regulations>

<sup>24</sup> <https://www.england.nhs.uk/wp-content/uploads/2015/05/guidance-chargeable-overseas-visitor.pdf>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		with providers and overseas commissioners that may need a different commissioner code to apply. Local understanding is required to interpret the rules and regulations for these cases <sup>24</sup> , Armed Forces personnel (or their dependents) who are stationed overseas and registered with an overseas DMS practice and who return to England for secondary care treatment are classed as charge-exempt overseas visitors and as such a CCG (either host or responsible) is responsible for paying for their care, and not NHS England.	
B-4	Residential Population Check	<p>Checks to see whether an overseas visitor patient is part of the UK residential population for funding purposes.</p> <p>The Who Pays? guidance distinguishes between those charge-exempt overseas visitors who are part of the residential population and those who are not. This distinction is purely to determine whether the host CCG or responsible CCG should pay for the care provided to the charge-exempt overseas visitor. If a charge-exempt overseas visitor patient is registered with an English GP (and who may also give an English address as their place of usual residence<sup>25</sup>), then they are considered part of the residential population for funding purposes. Charge-exempt overseas visitors who are not registered with an English GP (and who may also not give an English</p>	<p>Yes:- Responsible CCG</p> <p>No:- Host CCG</p>

<sup>25</sup> Note usual residence does not mean the same as ordinary residence – see Comments/Logic regarding question (C)

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		<p>address as their place of usual residence) are not considered as part of the residential population for funding purposes.</p> <p>Take the value from the CDS field GENERAL MEDICAL PRACTICE CODE (PATIENT REGISTRATION);</p> <p>IF this value is neither V81999 (registered GP Practice Code not known) or V81998 (registered GP Practice code not applicable) or V81997 (No registered GP Practice).</p> <p>AND if the value in the national GP file 'epracur' has a 'National Grouping' value (field 3) NOT equal to 'W00' OR if this value is not Null or Blank.</p> <p>AND if the record has a Status Code in the national GP file 'epracur' (field 13) of either A (active) or P (proposed) on the assignment date ( as defined in section 5).</p> <p>THEN the patient is registered with an English GP Practice and the answer to this question is 'Yes'.</p>	
WB-1	Under an agreement between Wales and England, for those patients usually resident in a defined set of Welsh	The appropriate Welsh Local Health Board is responsible for paying for care for patients registered with a Welsh border GP and usually resident in one of the defined Welsh administrative areas or English counties described within the agreement between Wales and England.	Yes:- C No:- WB-2

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
	administrative areas and English counties on the Wales-England border and registered with a Welsh GP, then a Welsh Local Health Board will be responsible for paying for the patient's care and not an English NHS organisation.	<p>Residency in the relevant geographies is based on CCG boundaries in England and Welsh administrative areas in Wales.</p> <p>If the value recorded in the CDS field GENERAL MEDICAL PRACTICE CODE (PATIENT REGISTRATION) maps to one of the following Welsh Local Health Board codes (7A1, 7A2, 7A3, 7A4, 7A5, 7A6 or 7A7) for active or proposed GP practices ('epraccur' status code equal to 'A' or 'P') on the assignment date (as defined in section 5) in the 'commissioner' field (field 15) in the ODS GP Practices in England and Wales reference file 'epraccur' AND if the postcode value from the CDS field: POSTCODE OF USUAL ADDRESS maps in the ONS NHS Postcode Directory to either one of the following CCG codes (via ONS NHS Postcode Directory field 18): '01R' (NHS South Cheshire); '02F' (NHS West Cheshire); '12F' (NHS Wirral); '05F' (NHS Herefordshire); '05N' (NHS Shropshire); '05X' (NHS Telford and Wrekin); '11M' (NHS Gloucestershire); 15C (NHS Bristol, North Somerset and South Gloucestershire CCG).</p> <p>(OR if the post code value from the CDS field: POSTCODE OF USUAL ADDRESS maps in the ONS NHS Postcode Directory to one of the following Welsh Unitary Authorities (via ONS NHS Postcode Directory field 9): 'W06000005' (Flintshire); 'W06000006' (Wrexham); 'W06000023' (Powys); 'W06000021' (Monmouthshire); 'W06000004' (Denbighshire] then the answer to this question is 'Yes' else the answer is 'No'.</p>	

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
WB-2	Under an agreement between Wales and England, for those patients usually resident in a defined set of Welsh administrative areas and English counties on the Wales-England border and registered with an English GP, then an English NHS organisation will be responsible for paying for the patient's care.	<p>As described in rule WB-1 above, the Wales-England Border agreement covers responsibility and payments for care for patients residing in one of the defined Welsh administrative areas or English counties defined within the cross-border agreement.</p> <p>If the value recorded in the CDS field GENERAL MEDICAL PRACTICE CODE (PATIENT REGISTRATION) indicates an active or proposed English GP Practice on the assignment date (see section 5) (where the corresponding Higher Level Health Geography code in 'epracur' &lt;&gt; Q99, YAC, YAD, YAE, YAF), and the patient has a home address as determined from the POSTCODE OF USUAL ADDRESS in either of the Welsh Local Health Board or English border areas as defined in the guidance for question WB-1, then the answer to this question is 'Yes'.</p> <p>If they live outside of the border agreement area or within the agreement area but without a GP, the answer is 'No'. If the patient is not registered with a GP, but resides in the border area, the responsibility for payment is covered by residence rules as covered in the subsequent question.</p>	<p>Yes:- C-0</p> <p>No:- WB-3</p>
WB-3	This question checks whether the patient is usually resident within England, or	Residency will need to be defined by the postcode of the patient's usual address. For serving members of the armed forces based in the UK, the address of their DMS practice will be used as a proxy for their place of usual residence.	<p>Yes:- C-0</p> <p>No:- C</p>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
	otherwise within Scotland, Wales or Northern Ireland.	<p>If the CDS field: POSTCODE OF USUAL ADDRESS is a postcode from a UK home country (i.e. where the value of the 'Country' field for that postcode in the ONS NHS Postcode Directory is equal to E92000001 then the answer to the question is 'Yes', else the answer is 'No'.</p> <p>If the CDS field: POSTCODE OF USUAL ADDRESS is populated with an 'Unable to be allocated' pseudo postcode (ZZ99 3WZ or ZZ99 3VZ), 'England UK - not specified' (Z99 3CZ), or ZZ99 3GZ – 'Wales'; ZZ99 1WZ – 'Scotland'; ZZ99 2WZ 'Northern Ireland', or is missing, then identify a usual place of residence based upon the address of the main site of the provider delivering the care and use this address when determining commissioning payment responsibilities.</p>	
C	This question checks whether the activity record pertains to specialised or highly specialised care.	<p>Specialised and highly-specialised services are identified via the application of the Identification Rules for Prescribed Specialised Services (the IR)<sup>26</sup>.</p> <p>NHS England has developed a prescribed specialised services identification rules software tool, maintained by NHS Digital<sup>27</sup>, which can be used to identify spells and outpatient attendances that represent specialised activity, some of which may be chargeable via a national tariff as part of the National Tariff Payment System. It is important to note that the identification rules software tool is not alone a sufficient means to identify specialised activity in</p>	<p>Yes:- C-1</p> <p>No:- Welsh Health Specialised Services Committee/Scotland National Services Division/N Ireland</p>

<sup>26</sup> <https://www.england.nhs.uk/publication/handbook-to-support-the-identification-of-specialised-service-activity/>

<sup>27</sup> <https://digital.nhs.uk/services/national-casemix-office/downloads-groupers-and-tools/prescribed-specialised-services-pss-tools>



Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		<p>CDS flows as full application of the IR requires the use of additional non-CDS data sets and logic. Providers should apply the rules in full using these other resources as required.</p> <p>See Appendix B for further information regarding specialised service lines.</p> <p>Providers are expected to use the version of the tool from NHS Digital that is applicable for the year of activity. It is anticipated that this will cover most specialised care, however should there be local discrepancies, providers should check with their local Specialised Commissioning Hub and seek further advice.</p> <p>It is also important to note that for admitted patient care consultant episode CDS records, the identification rules software tool will allocate a specialised service line at episode level for those episodes with qualifying data content, but may or may not allocate a specialised service line code at spell level for many reasons. In addition, the software tool also does not flag a spell as specialised, if a provider marks up episodes within the spell as being exempt from national tariffs (see the relevant guidance in the NHS Data Model and Dictionary for more information<sup>28</sup>).</p> <p>A general rule is that if any episode within a spell is specialised, then the whole spell should be considered specialised. If the identification rules software tool flags an episode or episodes in a spell as specialised, but</p>	Health and Social Care Board

<sup>28</sup> [http://www.datadictionary.nhs.uk/data\\_dictionary/data\\_field\\_notes/c/co/commissioning\\_serial\\_number\\_de.asp](http://www.datadictionary.nhs.uk/data_dictionary/data_field_notes/c/co/commissioning_serial_number_de.asp)

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		<p>does not flag the spell as specialised and chargeable under national tariff arrangements, then the spell should still be considered as a specialised spell for the purposes of commissioner assignment.</p> <p>Likewise, if the full application of the IR logic (including local derogations and deviations) indicates that a spell is specialised, even if the identification rules software tool does not identify any spell or episode within it as specialised, then the spell should still be flagged as specialised.</p> <p>The exceptions to the above rule is where a spell contains unbundled chemotherapy or radiotherapy Healthcare Resource Groups (HRGs) that are specialised and paid for by NHS England, where there are no other episode or spell level flags for specialised care, and where the core HRG for the spell <u>is not</u> either SB97Z or SC97Z (same day chemotherapy or radiotherapy delivery respectively). In this scenario the specialised service line code should only be written into the episode that contains the unbundled HRGs (i.e. the entire spell is not deemed as specialised).</p> <p>Unbundled critical adult care (HRGs: XC01Z-XC07Z) is only regarded as specialised where the core spell itself has been identified as specialised.</p> <p>Unbundled neonatal critical care (HRGs: XA01Z-XA04Z and XA06Z) is paid for by NHS England but the core spell is chargeable to a CCG, unless that itself has been identified as specialised by the identification rules software.</p>	

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		<p>Unbundled paediatric critical care (HRGs: XB01Z-XB09Z) within a Paediatric Intensive Care Unit (PICU) or Paediatric High Dependency Unit (PHDU), is paid for by NHS England but the core spell is chargeable to a CCG, unless that itself has been identified as specialised by the identification rules software. NHS England does not commission paediatric intensive / high dependency care in general paediatric wards or adult intensive care units.</p> <p>For these and other instances where the only specialised care is expressed via unbundled HRGs, then the relevant specialised service line code should only be written into the episode that contains the unbundled HRGs (i.e. the entire spell is not deemed as specialised).</p> <p>Where an entire spell is designated as specialised using the above logic then providers should record a specialised service line code into the NHS SERVICE AGREEMENT LINE NUMBER CDS field for every episode record in the spell. The service line code used should be the service line for the spell (i.e. each episode in a specialised spell will contain the same spell-level service line code). Likewise, the relevant Specialised Commissioning Hub code should be written into the Organisation Code (Code of Commissioner) CDS field for every episode in a spell deemed as specialised.</p> <p>If an outpatient attendance is designated as specialised then providers should record a specialised service line code into the NHS SERVICE</p>	

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		AGREEMENT LINE NUMBER CDS field for the outpatient attendance CDS record.	
C-0	The same as code C	Please see code C.	Yes:- C-2 No:- D
C-1	<p>NHS England commissions highly specialised services on behalf of patients from Scotland, Wales and Northern Ireland. These 'Pre-1991' services were commissioned prior to health becoming a devolved function.</p> <p>Scotland and Northern Ireland have additional agreements for extra highly specialised services</p>	<p>Appendix C lists the highly specialised services that are included in the 'Pre-1991' agreement.</p> <p>The extra services for Scotland and Northern Ireland are listed in Appendix C Part A (Scotland) and Part B (Northern Ireland).</p> <p>Providers need to check whether the specialised service line code is in these lists. Other highly specialised services not specifically listed should be assigned to the Local Health Board or equivalent.</p> <p>If the CDS field NHS SERVICE AGREEMENT LINE NUMBER contains a value contained within the list of specialised service line codes in column B or column C of Appendix C then the answer to this question is 'Yes' else the answer is 'No'.</p>	<p>No:- WB10</p> <p>Yes:- Responsible NHS England Specialised Commissioning Hub</p>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
	that are commissioned by NHS England.		
WB-10	The same as code WB1	Please see code WB1	Yes:- C3 No:- Welsh Health Specialised Services Committee/Scotland National Services Division/N Ireland Health and Social Care Board
C-2	NHS England Regional Geographies have agreed contracts with specific providers of specialised services. This question checks whether the	The specialised service contracts specify which services are provided by which providers and which NHS England Specialised Commissioning Hub holds the contract with each provider listed.  If a provider identifies activity as specialised, but that provider does not have a contract for specialised services with an NHS England Specialised Commissioning Hub, then the Responsible CCG pays. Providers may have agreed local rules which determine that even though a specialised services contract is held with NHS England, certain rules are excluded where the	Yes:- Responsible NHS England Specialised Commissioning Hub  No:- D

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
	specialised care has been provided by a contracted provider.	<p>provider is deemed not to provide a specialised service. Reference should be made to the specific local rules in place.</p> <p>The relevant responsible NHS England Regional Geography is derived as follows:</p> <p>Take the value from the CDS field ORGANISATION CODE (CODE OF PROVIDER),</p> <p>AND look this value up in the list of specified UK providers who have agreed contracts with NHS England Specialised Commissioning Hubs, as specified in the Provider to Commissioning Hub Mapping<sup>29</sup>, available from ODS, and then use this to derive the corresponding NHS England Specialised Commissioning Hub code.</p>	
C-3	NHS England will be responsible for facilitating payment of specialised care when referrals are not made through NHS Referral	<p>Where a patient is an English resident cross border patient (i.e. they live in an English border county, but are registered with a Welsh border GP practice) and they are referred via the NHS Referral Assessment Service (RAS), then the Welsh Local Health Board (via the Welsh Health Specialised Services Committee (WHSSC)) will pay for care.</p> <p>Where a patient is not referred via the RAS, NHS England is responsible for paying for the care. It is expected that, in time, all such referrals will be via</p>	Yes:- Welsh Local Health Board (via the Welsh Health Specialised Services Committee (WHSSC))

<sup>29</sup> <https://digital.nhs.uk/services/organisation-data-service/data-downloads/other-nhs-organisations>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
	Assessment Service (RAS).	the RAS. Further details, including the list of GP practices using the RAS are available from NHS England's website <sup>30</sup> .	No:- Responsible NHS England Specialised Commissioning Hub
D	'Securing excellence in commissioning NHS dental services' describes secondary dental activity as activity carried out by General Dental Council (GDC)-recognised dental specialties within general and dental hospital and dental teaching-hospital based services.	<p>Annex A of 'Securing excellence in commissioning NHS dental services' lists which specialties and services constitute secondary dental activity. This has been used to construct a preliminary mapping table to identify secondary dental activity based on certain values of Treatment Function Code and/or Main Specialty Code (see Appendix D). The Securing Excellence document describes a future need to disaggregate the dental component of Oral Maxillofacial Surgery activity which might be facilitated using ICD-10 and OPCS4 codes, however this disaggregation is not currently part of the derivation logic.</p> <p>If the value in the CDS field ACTIVITY TREATMENT FUNCTION CODE is in the following list: 140 (oral surgery), 143 (orthodontics), 142 (paediatric dentistry), 141(restorative dentistry), 217 (paediatric maxillofacial surgery), 450 (dental medicine specialties) and 144 (oral and maxillofacial surgery) then the answer to this question is 'Yes' else the answer is 'No'.</p> <p>All NHS England Regional Geographies have commissioning and payment responsibility for secondary dental care (see Appendix A). Therefore, the</p>	<p>Yes:- Responsible NHS England Regional Geography for Secondary Dental Services</p> <p>No:- E</p>

<sup>30</sup> <https://www.england.nhs.uk/ourwork/part-rel/x-border-health/>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		<p>correct Regional Geography code should be used to populate the commissioner code within the CDS for secondary dental activity records.</p> <p>NHS England commissions secondary dental services on a responsible population basis as it took over this responsibility from primary care trusts on 1 April 2013. The responsible population of an NHS England Regional Geography is any one registered with a GP belonging to CCG within the Regional Geography's geographical boundary, or for people who are not registered with an NHS GP, anyone resident within a CCG within the Regional Geography's geographical boundary.</p> <p>CDS field GENERAL MEDICAL PRACTICE CODE (PATIENT REGISTRATION) if this value is either V81999 (registered GP Practice Code not known) or V81998 (registered GP Practice code not applicable) or V81997 (No registered GP Practice) then take the value from the CDS field POSTCODE OF USUAL ADDRESS and look this postcode value up in the ONS NHS Postcode Directory and derive the code for the responsible Regional Geography from the value in the Directory's 'Regional Geography' field (field 24) for the matching record;</p> <p>ELSE look up the value for active or proposed GP practices ('epracur' status code equal to 'A' or 'P') on the assignment date (as defined in section 5) in the ODS 'epracur' file and derive the code for the responsible Regional Geography from 'epracur' field 4 ('High Level Health Geography).</p>	



Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		<p>For patients from a DMS practice, the Regional Geography field in 'epracur' will assign a local regional geography code which does not reflect the local geography for Public Health or Secondary Dental commissioning. For these practices, take the postcode of the organisation of the GP practice in the 'epracur' file and look this postcode value up in the ONS NHS Postcode Directory and derive the responsible NHS England commissioner from the value in the Directory's 'Higher Health Authority' field for the matching record.</p> <p>If there is no match to a record in 'epracur', then revert to the postcode methodology. This is to take the value from the CDS field POSTCODE OF USUAL ADDRESS and look this postcode value up in the ONS NHS Postcode Directory and derive the responsible NHS England commissioner from the value in the Directory's 'Higher Health Authority' field for the matching record.</p>	
E	The Who Pays? guidance and the 'Securing Excellence for Commissioning in Offender Health' state that NHS England is	<p>NHS England Health and Justice commissioning teams commission admitted patient care, outpatient care and public health Section 7a services for these patients, but do not commission accident and emergency care.</p> <p>Note that NHS England is not responsible for paying for secondary care for persons detained in and referred from police custody suites, other places of temporary secure accommodation such as courts, or people held on remand in the community whilst on bail.</p>	<p>Yes:- Responsible NHS England Regional Geography for Health and Justice Commissioning</p> <p>No:- F</p>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
	<p>responsible for commissioning admitted and outpatient care provided by secondary care health services for persons who are detained in English Prisons, Secure Training Centres, Secure Children's Homes, Young Offender Institutes and Immigration Removal Centres. This includes people who have been convicted and people held on remand. This</p>	<p>The use of prison practice codes to identify the eligible health and justice population is not recommended as offenders often remain with their usual NHS GP if their sentence is less than two years. Another reason is that the current prison primary care system is not connected to the Patient Demographic Service (PDS) and therefore, the registration details for patients registered with HMP practices will not flow from the PDS to PDS-connected Patient Administration Systems. Finally, not all prisons use a dedicated HMP practice.</p> <p>Providers should record the place of permanent detention as the place of usual residence for any patient referred for secondary care from a place of secure accommodation. This is in line with Connecting for Health Guidance from 2010<sup>31</sup>. The specific institutions that are in-scope are described in Appendix 1 of Securing Excellence for Commissioning for Offender Health<sup>32</sup>. Just under 95% of these institutions have a unique postcode, with the remaining institutions sharing a postcode with another address site.</p> <p>To identify the addresses of institutions, a lookup table has been provided in appendix F. Is it anticipated that this will be redundant due to changes to the Organisation Data Service records commissioning hubs for health and justice. It is anticipated that Prescribing Cost Centres should map between all appropriate Prisons and Detention centres and the Health and Justice</p>	

<sup>31</sup> <http://nww.connectingforhealth.nhs.uk/offenderhealth/guidance/prisonreferrals.pdf>

<sup>32</sup> <http://www.england.nhs.uk/wp-content/uploads/2013/03/offender-commissioning.pdf>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
	question is designed to identify whether patients fall into this category.	<p>Commissioning Hub, and these data could be used to create a lookup providing postcodes to identify patients where activity should be mapped to a Health and Justice Commissioning Hub. The details of this are still being agreed.</p> <p>Providers should also ensure that the CDS field SOURCE OF ADMISSION CODE (HOSPITAL PROVIDER SPELL) is populated with the value '39' (penal establishment, court, or police station) for any patients who have been admitted from a prison. Any patient whose postcode of place of usual residence is one of either the institutions listed in Appendix F (or found via a matching record in the 'ejustice' prison lookup described above), AND whose admission source is a prison, court or police station will be deemed to be part of the eligible health and justice population. It is acknowledged that for the ~ 5% of institutions that do not have a unique postcode then there is a small risk of incorrect allocation using this methodology. However, since full address data does not flow in the CDS where there is a valid NHS Number there is currently no other unequivocal identification method.</p> <p>For Admitted Patient Care CDS records: If the CDS field: POSTCODE OF USUAL ADDRESS is populated with a postcode value contained within the list of organisations detailed in Appendix F (column C refers) or via the new ODS lookups, AND if CDS field SOURCE OF ADMISSION CODE (HOSPITAL PROVIDER SPELL) is populated with the value '39' then the answer to this question is 'Yes', else the answer is 'No'.</p>	

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		<p>For Outpatient CDS records, if the CDS field: POSTCODE OF USUAL ADDRESS is populated with a postcode value contained within the list of organisations detailed in Appendix F (column C refers) or via the ODS Prison lookups, then the answer to this question is 'Yes', else the answer is 'No'.</p> <p>NHS England Regional Geographies are responsible for health and justice commissioning (see Appendix A) and a Health and Justice Commissioning Hub code will be available for each region.</p> <p>Take the postcode value from the CDS field: POSTCODE OF USUAL ADDRESS and look this up in column C of Appendix F.</p> <p>The Health and Justice commissioning hub can be obtained from Column D for the matching record.</p>	
F	Is the activity part of the agreed list of Public Health section 7a services, detailed in the NHS England service specifications?	NHS England commissions public health (PH) services as part of the Section 7a agreement. NHS England published service specifications for PH services on the NHS England website <sup>33</sup> . Many of these service specifications do not result in a CDS record, however, those relating to screening can create records – for example, Service Specifications 22 to 26 cover Diabetic Eye Screening, Abdominal Aortic Aneurysm, Breast, Cervical and Bowel screening.	Yes:- Responsible NHS England Regional Geography for Public Health Services

<sup>33</sup> <https://www.england.nhs.uk/commissioning/pub-hlth-res/>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		<p>This question should be answered with a 'Yes' only if the activity described by the record is covered explicitly by the NHS England service specifications.</p> <p>There is currently no national identification for public health to assign a Service Category. Therefore, providers are required to agree locally with commissioners and supply contracted business rules to document this agreement.</p> <p>Some activity along the care Section 7a screening care pathways may also occur via the symptomatic pathways and be excluded from Section 7a CDS, and be the responsibility of the CCGs i.e. colonoscopies can be carried out as part of the Section 7a care pathway or via GP / tertiary service referrals.</p> <p>The answer to this question should be no for services that are in development by Public Health England, such as pilot programmes such as the wave three Bowel Scope screening programmes, or HPV primary screening tests.</p> <p>While some public health Section 7a activities occur in maternity services (e.g. Bloodspot screening) these form part of the maternity payment pathway and are not funded by NHS England, but NHS England have commissioning responsibility.</p>	No:- H

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
H	Check to determine if the activity is another part of Public Health activity.	<p>The scope of the mandated public health services, not covered by the Section 7a agreement, is described in the DHSC document “Public Health in Local Government – Commissioning Responsibilities” gateway reference number 16747(12)<sup>34</sup>. If a provider does flow activity data for these services, via a commissioning dataset, then a local authority organisation should be recorded as the commissioner for all relevant CDS records.</p> <p>There is currently no national identification for public health to assign a Service Category. Therefore, providers are required to agree locally with commissioners and supply contracted business rules to document this agreement.</p>	<p>Yes:- Responsible Local Authority</p> <p>No:- I</p>
I	Does the CDS activity record describe any form of infertility care or treatment?	<p>If the CDS field PRIMARY DIAGNOSIS or the CDS field SECONDARY DIAGNOSIS (ICD) includes any of the following ICD10 diagnosis codes: N46 plus all 4th digit suffices, N97 plus all 4th digit suffices, Z31 plus all 4th digit suffices Z35.0;</p> <p>OR if the CDS field PRIMARY PROCEDURE (OPCS) or if the CDS field SECONDARY PROCEDURE (OPCS) includes any of the following OPCS4 intervention codes:</p>	<p>Yes:- Check Armed Forces Eligibility Criteria</p> <p>No:- J</p>

<sup>34</sup> [https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/216712/dh\\_131901.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/216712/dh_131901.pdf)

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		N34.1-6, Q13.1-9, Q21.1, Q21.8, Q21.9, Q38.2-3, Q48.1-4, Q48.8, Q48.9, Q56.1-2, Y96.1-6, Y96.8-9.  Then the answer to this question is 'Yes' else the answer is 'No'.	
I-1	Check Armed Forces treatment eligibility.	The policy for commissioning fertility treatment for Defence Medical Service registered patients and their families provides consistent guidance on the eligibility for infertility treatments. <sup>35</sup>  NHS England Armed Forces health leads operate a process to determine whether a patient's infertility treatment needs to be paid for under the special rules applying to armed forces health (see Appendix E). Where this is found to be the case providers will be contacted by NHS England before the patient attends for care. Providers will then need to manually modify the commissioner code within the relevant CDS submission records from the default responsible CCG code to the agreed code for NHS England Armed Forces Health Commissioning Hub – '13Q'.	Yes:- NHS England Armed Forces Commissioning Hub  No:- Responsible CCG
J	DMS GP Practice Code Check.	Who Pays? guidance states that NHS England is responsible for commissioning secondary and community health services for members of the armed forces who are registered with an English Defence Medical Services (DMS) GP Practice, for the members' families where they are registered with an English DMS practice, irrespective of their registration	Yes:- NHS England Armed Forces Commissioning Hub  No:- Responsible CCG

<sup>35</sup> <https://www.england.nhs.uk/commissioning/wp-content/uploads/sites/12/2014/11/n-sc037.pdf>

Assignment Process			
Code	Description	Comments / Logic	Assigned Commissioner
		<p>status. Patients who fall within this commissioning responsibility are registered with an English GP practice, which have the code '13Q' as their parent commissioning organisation in the national GP practice reference file 'epraccur'.</p> <p>Where GENERAL MEDICAL PRACTICE CODE (PATIENT REGISTRATION) in the CDS record is populated with an organisation code that has the code '13Q' listed as the Commissioner organisation in column 15 of the national GP practice reference file 'epraccur', for active or proposed GP practices ('epraccur' status code equal to 'A' or 'P') on the assignment date (as defined in section 5) AND where the postcode of the practice address (column 10 of the national GP practice reference file 'epraccur') has the value E92000001 in the 'Country' field in the ONS NHS Postcode Directory then the answer to this question is 'Yes' else the answer is 'No'.</p>	



## **Appendix A - NHS England Direct Commissioning Framework Responsibilities Matrix 2020/21**

Please see separate supporting tables, “Commissioner Assignment Method Flow Chart 2020/21: Supporting tables & Appendix”, for full list.

## **Appendix B – Specialised and Highly-Specialised Service Line Codes**

This appendix is no longer published as a part of the Commissioner Assignment Method, instead this is available within any one of the four information standards for contract monitoring for all directly commissioned services <https://www.england.nhs.uk/nhs-standard-contract/dc-reporting/>.

## **Appendix C - Specialised Services Cross Border Flows within the UK**

Please see separate supporting tables, “Commissioner Assignment Method Flow Chart 2020/21: Supporting tables & Appendix”, for full list.

## **Appendix D – Mapping Table to Identify Secondary Dental Activity**

Please see separate supporting tables, “Commissioner Assignment Method Flow Chart 2020/21: Supporting tables & Appendix”, for full list.

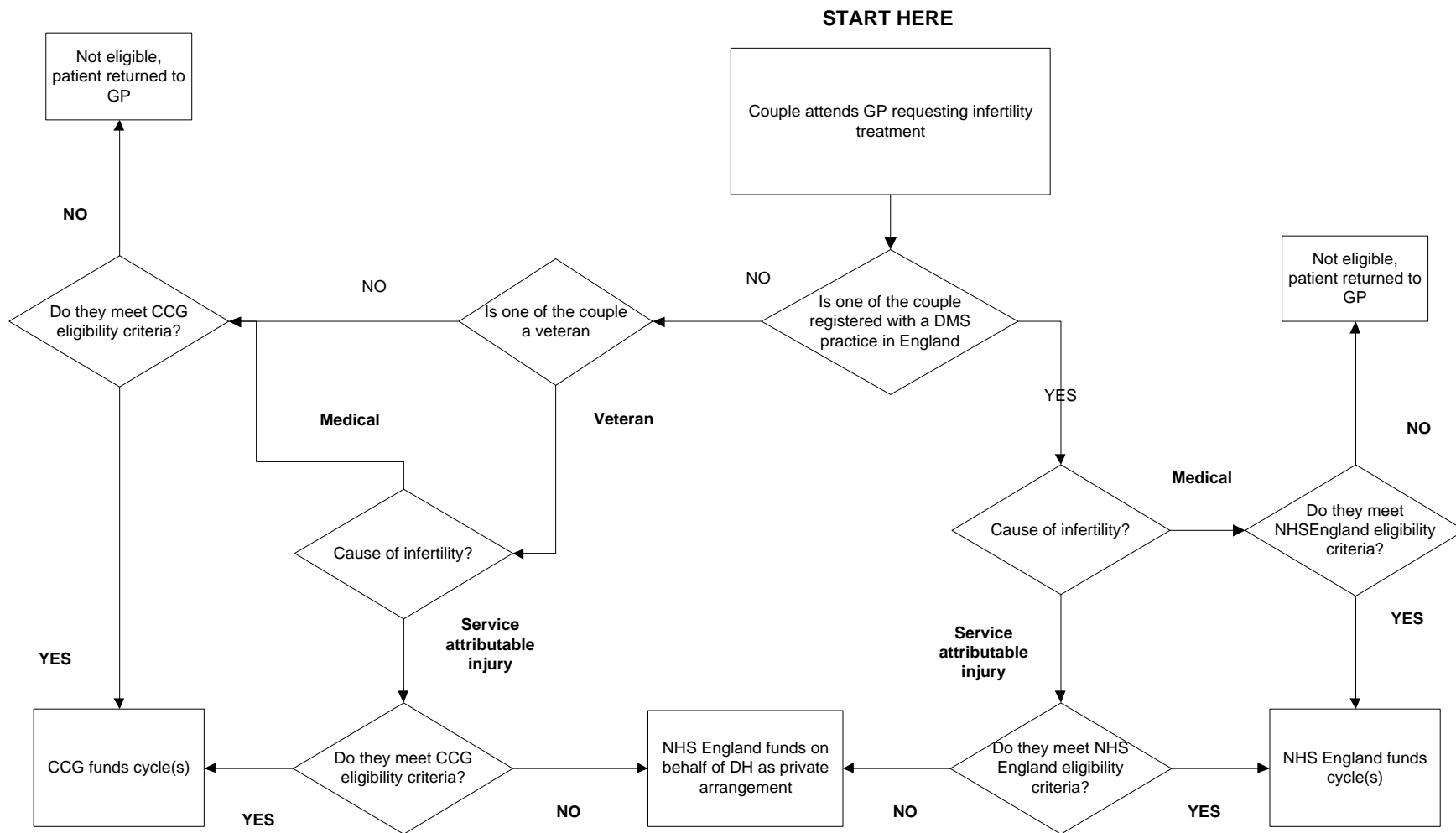
## **Appendix E – Identification of Correct Commissioning Organisation for Infertility Care**

NHS England is the commissioner of infertility treatment for Defence Medical Service patients and their partners, as described in the NHS England Clinical Commissioning Policy: Assisted Conception<sup>36</sup> to ensure that Armed Forces members receive treatment in line with NICE guidance, regardless of where they live. In addition, a very small number of patients each year (<10) will receive infertility care funded by NHS England under the special rules governing members of the armed forces, their dependents and veterans. NHS England uses the following algorithm to determine whether an infertility patient should be funded by this route (see diagram below).

Providers will be contacted directly by NHS England before patients receive the treatment and advised whether NHS England will fund the care or whether the patient's CCG will be responsible. For this reason, it is not possible to provide a definitive and consistent means of identifying commissioner for this activity.

---

<sup>36</sup> <https://www.england.nhs.uk/commissioning/wp-content/uploads/sites/12/2014/11/n-sc037.pdf>



## **Appendix F – Mapping Table of Detention Centre Addresses and Regional Geography Commissioners Responsible for Paying for Treatment for Referrals from that Detention Centre**

Please see separate supporting tables, “Commissioner Assignment Method Flow Chart 2020/21: Supporting tables & Appendix”, for full list.

## Appendix G – Glossary

Abbreviation	Definition
A&E	Accident and Emergency
Accident and Emergency attendance	An Accident and Emergency Attendance is an individual visit by one patient to an Accident and Emergency Department to receive treatment from the accident and emergency service.
BFPO	British Forces Post Office
CCG	Clinical Commissioning Groups (CCGs) were created following the Health and Social Care Act in 2012, and replaced Primary Care Trusts on 1 April 2013. They are clinically-led statutory NHS bodies responsible for the planning and commissioning of health care services for their local area.
CDS	Commissioning Data Sets form the basis of data on activity carried out by Organisations reported centrally for monitoring and payment purposes. They support the current Healthcare Resource Group (HRG) version for calculation of payment to trusts and monitoring of other initiatives.
CEOV	When a charge cannot be made or recovered from any overseas visitor.
DHSC (formerly DH)	DHSC is a ministerial department, supported by 15 arm's length bodies and a number of other agencies and public bodies. The department employs 2,160 staff, who work in locations across the country
DMICP	Defence Medical Information Capability Programme
DMS	The primary role of the Defence Medical Service (DMS) is to promote, protect and restore the health of service personnel to ensure that they are ready and medically fit to go where they are required in the UK and throughout the world; generally referred to as being 'fit for task'.
DSCRO	The law says commissioners are not allowed to access Personal Confidential Data (PCD) because they are not providing direct patient care. they need an intermediary service called Data Services for Commissioners Regional Office (DSRCO), that specialise in processing, analysing and packaging patient information within a secure environment into a format various commissioner can legally use; anonymised patient level data.
EEA Resident	A resident of the European Economic Area, a free-trade zone created in 1994, composed of the states of the European Union together with Iceland, Norway, and Liechtenstein.
ECDS	Emergency Care Data Set (a replacement for the A&E dataset)
EHIC	European Health Insurance Card
GDC	General Dental Council

GP	General Practitioner
HRG	Healthcare Resource Group
HSCIC	Health and Social Care Information Centre, now known as NHS Digital
ICD-10	International Classification of Diseases (10th edition)
IR	Identification Rules for Prescribed Specialised Services
IRC	Immigration Removal Centre
LHB	Established and hosted by local authorities, health and wellbeing boards bring together the NHS, public health, adult social care and children's services, including elected representatives and Local Healthwatch, to plan how best to meet the needs of their local population and tackle local inequalities in health.
Local Health Board (Wales)	A Local Health Board (Wales) (formerly known as Welsh Local Health Board) is an Organisation. Local Health Boards (Wales) are bodies corporate established by the Welsh Ministers under s.11 of the National Health Service (Wales) Act 2006.
MHL&D	Mental Health Liaison and Diversion
NECS	The North East Commissioning Support Unit works across the UK to support health and social care customers in meeting strategic and operational challenges, to improve outcomes and increase efficiency.
NHAIS	National Health Applications & Infrastructure Services
NHS Digital	NHS Digital, formally known as HSCIC, is able to disseminate data to commissioners under the Health and Social Care Act (2012). The act provides the powers for NHS Digital to collect, analyse and disseminate national data and statistical information. To access this data organisations must submit an application and demonstrate that they meet the appropriate governance and security requirements.
NHS Improvement	NHS Improvement is responsible for overseeing foundation trusts and NHS trusts, as well as independent providers that provide NHS-funded care.
NHSE	NHS England (NHSE) leads the National Health Service (NHS) in England. They set the priorities and direction of the NHS and encourage and inform the national debate to improve health and care.
NIHSCB	The Health and Social Care Board (HSCB) is a statutory organisation. They arrange or 'commission' health and social care services for the population of Northern Ireland.
ODS	The Organisation Data Service (ODS) is responsible for publishing organisation and practitioner codes, along with related national policies and standards.

ONS	The UK's largest independent producer of official statistics and its recognised national statistical institute. The ONS responsible for collecting and publishing statistics related to the economy, population and society at national, regional and local levels. They also conduct the census in England and Wales every 10 years.
OPCS4	Office of Population Census and Surveys, Classification of Interventions and Procedures (version four).
Outpatient attendance	An attendance to enable a patient to see a consultant (or a GP acting as a consultant employed by the trust as a hospital practitioner) or clinical assistant, in respect of one referral.
PAS	Patient Administration System
PbR	Payment by Results (PbR) is a system of paying NHS healthcare providers a standard national price or tariff for each patient seen or treated.
PDS	Personal Demographic Service
RAS	The NHS e-Referral Service (e-RS) programme has introduced Referral Assessment Services (RAS), which now allow providers to: <ul style="list-style-type: none"> <li>• Assess the Clinical Referral Information from the GP/referrer</li> <li>• Decide on the most appropriate onward clinical pathway</li> <li>• Contact the patient to discuss choice (if an elective referral)</li> <li>• Arrange an appointment, where needed</li> <li>• Return the triage request to the original referrer with advice, if an onward referral isn't needed.</li> </ul>
SARC	Sexual Assault Referral Centre
SHB	Scottish Health Board is a regional authority in Scotland with responsibility for the delivery of health services.
Specialised commissioning hub	There are over 130 specialised services that are commissioned by 10 specialised commissioning teams that are part of NHS England. The specialised commissioning teams (or hubs) are grouped by the NHS England regions – North, Midlands and East, London and South. The South region is split into South West, South East and Wessex.
SUS+	Secondary Uses Service
WHSSC	The Welsh Health Specialised Services Committee (WHSSC) is responsible for the joint planning of Specialised and Tertiary Services on behalf of Local Health Boards in Wales.
YOI	Youth Offender Institution

## Appendix H – Pseudo Postcodes for EU Member States and Other States with Similar Arrangements (starred)

The following pseudo postcodes are for EU member states and other states with similar arrangements.

Country	Pseudo Postcode
Austria	ZZ99 4MZ
Belgium	ZZ99 2DZ
Bulgaria	ZZ99 4UZ
Croatia	ZZ99 5VZ
Cyprus (Southern)	ZZ99 6AZ
Czechia	ZZ99 5XZ
Denmark	ZZ99 4FZ
Estonia	ZZ99 7LZ
Finland	ZZ99 4BZ
France	ZZ99 4GZ
Germany	ZZ99 4QZ
Greece	ZZ99 4RZ
Hungary	ZZ99 4XZ
Iceland*	ZZ99 4CZ
Italy	ZZ99 4LZ
Latvia	ZZ99 7RZ
Liechtenstein*	ZZ99 2PZ
Lithuania	ZZ99 7SZ
Luxembourg	ZZ99 2EZ
Malta	ZZ99 5BZ
Netherlands	ZZ99 4EZ
Norway*	ZZ99 2AZ
Poland	ZZ99 4YZ
Portugal	ZZ99 4JZ
Republic of Ireland	ZZ99 3AZ
Romania	ZZ99 4ZZ
Slovakia	ZZ99 5YZ
Slovenia	ZZ99 5UZ
Spain	ZZ99 4HZ
Sweden	ZZ99 2CZ
Switzerland*	ZZ99 4PZ

Non-EEA reciprocal arrangement countries as listed in DHSC/NHS<sup>37</sup> guidance and pseudo postcodes from ONS.

<sup>37</sup> <https://www.nhs.uk/using-the-nhs/healthcare-abroad/healthcare-when-travelling-abroad/travelling-outside-the-european-economic-area-eea/>



Country	Pseudo Postcode
Anguilla	ZZ99 6RZ
Australia	ZZ99 6GZ
Bosnia and Herzegovina	ZZ99 5NZ
British Virgin Islands	ZZ99 6RZ
Falkland Islands	ZZ99 6UZ
Gibraltar	ZZ99 5AZ
Isle of Man	ZZ99 3BZ
Jersey	ZZ99 3FZ
Kosovo	ZZ99 9SZ
North Macedonia	ZZ99 5QZ
Montenegro	ZZ99 9SZ
Montserrat	ZZ99 6RZ
New Zealand	ZZ99 6HZ
Serbia	ZZ99 9SZ
St Helena	ZZ99 6UZ
Turks and Caicos Islands	ZZ99 6RZ

Further codes are available in the Country names and pseudo country postcodes file, available from the ODS ONS file section.<sup>38</sup>

---

<sup>38</sup> <https://digital.nhs.uk/services/organisation-data-service/data-downloads/ods-postcode-files>

# Appendix I – Commissioner Assignment Method Flow Chart

